

FORM NO. MGT-9
EXTRACT OF ANNUAL RETURN
(As on financial year ended on 31.03.2021)

*Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company
(Management & Administration) Rules, 2014.*

I. REGISTRATION & OTHER DETAILS:

1.	CIN	U65999OR1986PTC015931
2.	Registration Date	30/04/1986
3.	Name of the Company	Annapurna Finance Private Limited
4.	Category/Sub-category of the Company	Company Limited by Shares Indian Non Government Company Non Deposit Taking Non-Banking Financial Company- Micro Finance Institution
5.	Address of the Registered office & contact details	Plot No. 1215/1401, Khandagiri Bari, Infront of Jayadev Vatika, PS/PO- Khandagiri, Bhubaneswar Khordha-751030 (Odisha) Phone No. 0674-2386790 E-Mail Id- subrat@ampl.net.in
6.	Whether listed Company	Unlisted Company (However Debt Instrument are listed with Bombay Stock Exchange)
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	1. Kfin Technologies Pvt. Ltd. Selenium Tower B, Plot no. 31- 32, Gachibowli, Financial District, Nanakramguda , Hyderabad – 500 032 Tel No:- 040 67162222, Fax No 040 23001153 2. NSDL Database Management Limited +4th Floor, Trade World A Wing, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013 Tel No:- 022 4914 2591

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

Sl. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Revenue from operation of On- Lending to SHG Groups and earning of interest thereon	64990	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATES COMPANY:

The Company has a wholly owned subsidiary Company named Annapurna SME Finance Private Limited (incorporated in FY 2020-21)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

a. Category-wise Shareholding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2020]				No. of Shares held at the end of the year [As on 31-March-2021]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	Nil	68,26,543	68,26,543	9.94	Nil	68,26,543	68,26,543	9.94	Nil
b) Central Govt	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
c) State Govt(s)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
d) Bodies Corp.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
e) Banks / FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
f) Any other	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sub Total of (A) (1)	Nil	68,26,543	68,26,543	9.94	Nil	68,26,543	68,26,543	9.94	Nil
(2) Foreign									
a) NRIs– Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b)Other– Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
c)Bodies Corp.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
d)Banks/FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
e)Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sub Total of (A) (2)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Total shareholding of Promoter (A) (A) (1) +(A) (2)	Nil	68,26,543	68,26,543	9.94	Nil	68,26,543	68,26,543	9.94	Nil

B. Public Shareholding									
1. Institutions									
a) Mutual Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b) Banks / FI	30,79,058	Nil	30,79,058	4.49	30,79,058	Nil	30,79,058	4.48	(0.01)
c) Central Govt	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
d) State Govt(s)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
e) Venture Capital Funds	15,42,449	Nil	15,42,449	2.25	15,42,449	Nil	15,42,449	2.24	Nil
f) Insurance Companies	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
g) FIs	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
h) Foreign Venture Capital Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
i) Others (Multilateral Financial Institution)	116,88,702	Nil	116,88,702	17.01	116,88,702	Nil	116,88,702	17.01	Nil
Overseas Corporate Bodies	180,48,942	275,13,954	455,62,896	66.32	180,48,942	275,14,054	455,62,996	66.31	(0.01)
Sub-total (B)(1):-	343,59,151	275,13,954	6,18,73,105	90.06	343,59,151	275,14,054	6,18,73,205	90.04	(0.02)
2. Non-Institutions									
a) Bodies Corp.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
i) Indian	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
ii) Overseas	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b) Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
i) Individualshareholders holding nominal share capital upto Rs. 1 lakh	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
c) Others (specify)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil

Non Resident Indians	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Overseas Corporate Bodies	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Foreign Nationals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Clearing Members	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Trusts	Nil	Nil	Nil	Nil	Nil	16,200	16,200	0.02	Nil
Foreign Bodies - D R	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sub-total (B)(2) :-	0	0	0	0	0	16,200	16,200	0.02	0.02
Total Public Shareholding (B)=(B)(1)+ (B)(2)	343,59,151	275,13,954	618,73,105	90.06	343,59,151	275,30,154	618,89,405	90.06	Nil
C. Shares held by Custodian for GDRs & ADRs	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Grand Total (A+B+C)	343,59,151	343,40,497	686,99,648	100	343,59,151	343,56,797	6,87,15,948	100	Nil

b. Shareholding of Promoters-

S.N	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged /encumbered to total shares	
1	Gobinda Chandra Pattanaik	67,60,343	9.84	0	67,60,343	9.84	0	0.00
2	Dibyajyoti Pattanaik	66,200	0.10	0	66,200	0.10	0	0.00
	Total	68,26,543	9.94	0	68,26,543	9.94	0	0.00

c. Change in Promoters' Shareholding

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of company	No. of shares	% of total shares of company
1.	At the beginning of the year	68,26,543	9.94	68,26,543	9.94
2.	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/sweat equity etc.):	Nil	Nil	Nil	Nil
3.	At the end of the year	68,26,543	9.94	68,26,543	9.94

**d. Shareholding Pattern of top ten Shareholders:
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
At the beginning of the year					
1	Oman India Joint Investment Fund II	1,80,48,942	26.27	1,80,48,942	26.27
2	Asian Development Bank	1,16,88,702	17.01	1,16,88,702	17.01
3	Belgian Investment Company	95,27,723	13.87	95,27,723	13.86
4	Oikocredit Ecumenical Development Cooperative Society U.A.	75,40,536	10.98	75,40,536	10.97
5	Women's World Banking Capital Partners, LP	58,00,000	8.44	58,00,000	8.44
6	Bamboo Financial Inclusions Fund II	46,45,695	6.76	46,45,695	6.76
7	DCB Bank Ltd.	18,24,151	2.66	18,24,151	2.65
8	SIDBI Trustee Company Limited	15,42,449	2.25	15,42,449	2.24
9	Micro Units Development and Refinance Agency (MUDRA)	12,54,907	1.83	12,54,907	1.83
10	Annapurna Employees Welfare Trust	0	0	16,200	0.02
At the end of the year		6,18,73,105	90.06	6,87,15,848	90.05
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase/decrease(e.g. allotment / transfer / bonus/ sweat equity etc):	Increase in Shareholding a) 26-03-2021- Allotment of 100 nos of Equity Shares to Nuveen Global Impact Fund India S.àr.l b) 31-03-2021-Allotment of 16,200 nos. of Equity shares to Annapurna Employees Welfare Trust			

e. Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares	No. of shares	% of total shares
At the beginning of the year					
1.	Gobinda Chandra Pattanaik, (Managing Director cum CEO)	67,60,343	9.84	67,60,343	9.84
2.	Dibyajyoti Pattanaik, (Director)	66,200	0.10	66,200	0.10
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	Nil	Nil	Nil	Nil
At the end of the year		68,26,543	9.94	68,26,543	9.94

V. INDEBTEDNESS – Indebtedness of the Company including interest outstanding / accrued but not due for payment. (Rs. In Lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year	3,48,945	41,792	Nil	3,90,737
Indebtedness at the end of the financial year	4,17,273	71,163	Nil	4,88,436

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: (Rs in Lakhs)

SI	Particulars of Remuneration	Name of MD/WTD/ Manager		Total amt.
		Gobinda Chandra Pattanaik, MD & CEO	Dibyajyoti Pattanaik, WTD	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	153	70	223
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	0	0
	(c) Profits in lieu of salary u/s 17(3) Income- tax Act, 1961	0	0	0
2	Stock Option	0	0	0
3	Sweat Equity	0	0	0
4	Commission - as % of profit - others, specify...	0	0	0

5	Others, please specify	0	0	0
	Total (A)	153	70	223
	Ceiling as per the Act			

B. Remuneration to other directors

(Rs in Lakhs)

Sl	Particulars of Remuneration	Name of Directors			Total amt.	
1	Independent Directors	K. K. Tiwary	Sean Leslie Nossel	Ashok Ranjan Samal		
	Fee for attending board & committee meetings	8	6	10	24	
	Commission	-	-	-	-	
	Others, please specify	-	-	-	-	
	Total (1)	8	6	10	24	
2 (i)	Other Non-Executive Directors	MR Venkitesh	Dhara Mehta	Sunit Vasant Joshi	Christina Juhasz	Total Amount
	Fee for attending board & committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others, please specify	-	-	-	-	-
3 (ii)	Other Non-Executive Directors	Laetitia Counye	Satish Chhava	Prakash Kumar		Total Amount
	Fee for attending board & committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others, please specify	-	-	-	-	-
	Total (2)= 2(i) + 2(ii)	-	-	-	-	-
	Total (B)=(1+2+3)	-	-	-		24
	Total Managerial remuneration					24
	Overall Ceiling as per Act					

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

(Rs in Lakhs)

sl	Particulars of Remuneration	Key Managerial Personnel		
		Chief Financial Officer	Company Secretary	Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) Income-tax Act, 1961	62	18	80
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	0	0
	(c) Profits in lieu of salary under section 17(3) Income-tax Act	0	0	0
2	Stock Option	0	0	0
3	Sweat Equity	0	0	0
4	Commission	0	0	0
	- as % of profit	0	0	0
	others, specify...	0	0	0
5	Others, please specify	0	0	0
	Total	62	18	80

KEY MANAGERIAL PERSONS OF THE COMPANY:

Managing Director & Chief Executive Officer	Mr. Gobinda Chandra Pattanaik
Whole Time Director	Mr. Dibyajyoti Pattanaik
Chief Financial Officer	Mr. Satyajit Das
Company Secretary	Mr. Subrata Pradhan

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

- A. Company** : No such incident occurred during the period
- B. Directors** : No such incident occurred during the period
- C. Other Officer in default** : No such incident occurred during the period