

**FORM NO. MGT-9**  
**EXTRACT OF ANNUAL RETURN**  
**(As on financial year ended on 31.03.2020)**

*Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company  
(Management & Administration) Rules, 2014.*

**I. REGISTRATION & OTHER DETAILS:**

|    |   |   |
|----|---|---|
| 1. | <b>CIN</b>  | U65999OR1986PTC015931   |
| 2. | <b>Registration Date</b>  | 30/04/1986  |
| 3. | <b>Name of the Company</b>  | <b>Annapurna Finance Private Limited</b>  |
| 4. | <b>Category/Sub-category of the Company</b>   | Company Limited by Shares. Indian Non Government Company<br>Non Deposit Taking Non-Banking Financial Company- Micro Finance Institution   |
| 5. | <b>Address of the Registered office &amp; contact details</b>                             | Plot No. 1215/1401, Khandagiri Bari, Infront of Jayadev Vatika,<br>PS/PO- Khandagiri, Bhubaneswar Khordha-751030 (Odisha)<br><br>Phone No. 0674-2386790<br>E-Mail Id- subrat@ampl.net.in  |
| 6. | <b>Whether listed Company</b>   | Listed Company- Debt Instrument listed in Bombay Stock Exchange   |
| 7. | <b>Name, Address &amp; contact details of the Registrar &amp; Transfer Agent, if any.</b> | <b>1. Kfin Technologies Pvt. Ltd.</b><br>Selenium Tower B, Plot no. 31- 32, Gachibowli, Financial District,<br>Nanakramguda , Hyderabad – 500 032<br>Tel No:- 040 67162222, Fax No 040 23001153<br><br><b>2. NSDL Database Management Limited</b><br>+4th Floor, Trade World A Wing, Kamala Mills Compound, Senapati<br>Bapat Marg, Lower Parel, Mumbai – 400 013<br>Tel No:- 022 4914 2591 |

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY** (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

| Sl. No. | Name and Description of main products / services                                    | NIC Code of the Product/service | % to total turnover of the company |
|---------|---|---------------------------------|------------------------------------|
| 1       | Revenue from operation of On- Lending to SHG Groups and earning of interest thereon | 64990                           | 100                                |

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATES COMPANY:**

The Company does not have any holding/subsidiary or Associate Company

#### IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

##### a. Category-wise Shareholding

| Category of Shareholders                                    | No. of Shares held at the beginning of the year[As on 1-April-2019] |                  |                  |                   | No. of Shares held at the end of the year[As on 31-March-2020] |                  |                  |                   | % Change during the year |
|---|---|------------------|------------------|-------------------|--|------------------|------------------|-------------------|--------------------------|
|   | Demat   | Physical         | Total            | % of Total Shares | Demat  | Physical         | Total            | % of Total Shares |                          |
| <b>A. Promoters</b>   |   |                  |                  |                   |  |                  |                  |                   |                          |
| <b>(1) Indian</b>   |   |                  |                  |                   |  |                  |                  |                   |                          |
| a) Individual/ HUF  | Nil   | 68,26,543        | 68,26,543        | 9.94              | Nil  | 68,26,543        | 68,26,543        | 9.94              | Nil                      |
| b) Central Govt   | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| c) State Govt(s)  | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| d) Bodies Corp.   | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| e) Banks / FI   | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| f) Any other  | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| <b>Sub Total of (A) (1)</b>                                 | <b>Nil</b>  | <b>68,26,543</b> | <b>68,26,543</b> | <b>9.94</b>       | <b>Nil</b>   | <b>68,26,543</b> | <b>68,26,543</b> | <b>9.94</b>       | <b>Nil</b>               |
| <b>(2) Foreign</b>  |   |                  |                  |                   |  |                  |                  |                   |                          |
| a) NRIs– Individuals  | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| b)Other– Individuals  | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| c)Bodies Corp.  | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| d)Banks/FI  | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| e)Any Other   | Nil   | Nil              | Nil              | Nil               | Nil  | Nil              | Nil              | Nil               | Nil                      |
| <b>Sub Total of (A) (2)</b>                                 | <b>Nil</b>  | <b>Nil</b>       | <b>Nil</b>       | <b>Nil</b>        | <b>Nil</b>   | <b>Nil</b>       | <b>Nil</b>       | <b>Nil</b>        | <b>Nil</b>               |
| <b>Total shareholding of Promoter (A) (A) (1) + (A) (2)</b> | <b>Nil</b>  | <b>68,26,543</b> | <b>68,26,543</b> | <b>9.94</b>       | <b>Nil</b>   | <b>68,26,543</b> | <b>68,26,543</b> | <b>9.94</b>       | <b>Nil</b>               |

| <b>B. Public Shareholding</b>  |                    |                    |                    |              |                    |                    |                    |              |            |
|--|--------------------|--------------------|--------------------|--------------|--------------------|--------------------|--------------------|--------------|------------|
| <b>1. Institutions</b>   |                    |                    |                    |              |                    |                    |                    |              |            |
| a) Mutual Funds  | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| b) Banks / FI  | 12,54,907          | 18,24,151          | 30,79,058          | 4.49         | 30,79,058          | Nil                | 30,79,058          | 4.49         | Nil        |
| c) Central Govt  | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| d) State Govt(s)   | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| e) Venture Capital Funds   | 15,42,449          | Nil                | 15,42,449          | 2.25         | 15,42,449          | Nil                | 15,42,449          | 2.25         | Nil        |
| f) Insurance Companies   | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| g) FIs   | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| h) Foreign Venture Capital Funds   | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| i) Others (Multilateral Financial Institution)                                   | 116,88,702         | Nil                | 116,88,702         | 17.01        | 116,88,702         | Nil                | 116,88,702         | 17.01        | Nil        |
| j) Overseas Corporate Bodies   | 180,48,942         | 275,13,954         | 455,62,896         | 66.32        | 180,48,942         | 275,13,954         | 455,62,896         | 66.32        | Nil        |
| <b>Sub-total (B)(1):-</b>  | <b>3,25,35,000</b> | <b>2,93,38,105</b> | <b>6,18,73,105</b> | <b>90.06</b> | <b>3,43,59,151</b> | <b>2,75,13,954</b> | <b>6,18,73,105</b> | <b>90.06</b> | <b>Nil</b> |
| <b>2. Non-Institutions</b>   |                    |                    |                    |              |                    |                    |                    |              |            |
| a) Bodies Corp.  | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| i) Indian  | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| ii) Overseas   | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| b) Individuals   | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| i) Individualshareholders holding nominal share capital upto Rs. 1 lakh          | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| c) Others (specify)  | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |

|   |                    |                    |                    |              |                    |                    |                    |              |            |
|---|--------------------|--------------------|--------------------|--------------|--------------------|--------------------|--------------------|--------------|------------|
| Non Resident Indians                                | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| Overseas Corporate Bodies                           | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| Foreign Nationals                                   | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| Clearing Members                                    | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| Trusts  | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| Foreign Bodies - D R                                | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| <b>Sub-total (B)(2):-</b>                           | 0                  | 0                  | 0                  | 0            | 0                  | 0                  | 0                  | 0            | 0          |
| <b>Total Public Shareholding (B)=(B)(1)+ (B)(2)</b> | <b>3,25,35,000</b> | <b>2,93,38,105</b> | <b>6,18,73,105</b> | <b>90.06</b> | <b>3,43,59,151</b> | <b>2,75,13,954</b> | <b>6,18,73,105</b> | <b>90.06</b> | <b>Nil</b> |
| C. Shares held by Custodian for GDRs & ADRs         | Nil                | Nil                | Nil                | Nil          | Nil                | Nil                | Nil                | Nil          | Nil        |
| <b>Grand Total (A+B+C)</b>                          | <b>325,35,000</b>  | <b>361,64,648</b>  | <b>6,86,99,648</b> | <b>100</b>   | <b>3,43,59,151</b> | <b>3,43,40,497</b> | <b>6,86,99,648</b> | <b>100</b>   | <b>Nil</b> |

**b. Shareholding of Promoters-**

| S.N | Shareholder's Name        | Shareholding at the beginning of the year |                                  |   | Shareholding at the end of the year |                                  |  | % change in shareholding during the year |
|-----|---------------------------|---|----------------------------------|---|-------------------------------------|----------------------------------|--|--|
|     |                           | No. of Shares                             | % of total Shares of the company | %of Shares Pledged / encumbered to total shares | No. of Shares                       | % of total Shares of the company | %of Shares Pledged /encumbered to total shares |  |
| 1   | Gobinda Chandra Pattanaik | 67,60,343                                 | 9.84                             | 0   | 67,60,343                           | 9.84                             | 0  | 0.00                                     |
| 2   | Dibyajyoti Pattanaik      | 66,200                                    | 0.10                             | 0   | 66,200                              | 0.10                             | 0  | 0.00                                     |
|     | <b>Total</b>              | <b>68,26,543</b>                          | <b>9.94</b>                      | <b>0</b>  | <b>68,26,543</b>                    | <b>9.94</b>                      | <b>0</b>                                       | <b>0.00</b>                              |

### c. Change in Promoters' Shareholding

| SN | Particulars  | Shareholding at the beginning of the year |                              | Cumulative Shareholding during the year |                              |
|----|--|---|------------------------------|---|------------------------------|
|    |  | No. of shares                             | % of total shares of company | No. of shares                           | % of total shares of company |
| 1. | At the beginning of the year   | 68,26,543                                 | 9.94                         | 68,26,543                               | 9.94                         |
| 2. | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/sweat equity etc.): | Nil                                       | Nil                          | Nil                                     | Nil                          |
| 3. | At the end of the year   | 68,26,543                                 | 9.94                         | 68,26,543                               | 9.94                         |

### d. Shareholding Pattern of top ten Shareholders: (Other than Directors, Promoters and Holders of GDRs and ADRs):

| SN                                  | For Each of the Top 10 Shareholders  | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|-------------------------------------|--|---|-------------------|---|-------------------|
|                                     |  | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| <b>At the beginning of the year</b> |  |   |                   |   |                   |
| 1                                   | Oman India Joint Investment Fund II  | 1,80,48,942                               | 26.27             | 1,80,48,942                             | 26.27             |
| 2                                   | Asian Development Bank   | 1,16,88,702                               | 17.01             | 1,16,88,702                             | 17.01             |
| 3                                   | Belgian Investment Company   | 95,27,723                                 | 13.87             | 95,27,723                               | 13.87             |
| 4                                   | Oikocredit Ecumenical Development Cooperative Society U.A.   | 75,40,536                                 | 10.98             | 75,40,536                               | 10.98             |
| 5                                   | Women's World Banking Capital Partners, LP   | 58,00,000                                 | 8.44              | 58,00,000                               | 8.44              |
| 6                                   | Bamboo Financial Inclusions Fund II  | 46,45,695                                 | 6.76              | 46,45,695                               | 6.76              |
| 7                                   | DCB Bank Ltd.  | 18,24,151                                 | 2.66              | 18,24,151                               | 2.66              |
| 8                                   | SIDBI Trustee Company Limited  | 15,42,449                                 | 2.25              | 15,42,449                               | 2.25              |
| 9                                   | Small Industries Development Bank of India   | 12,54,907                                 | 1.83              | 12,54,907                               | 1.83              |
| <b>At the end of the year</b>       |  | <b>6,18,73,105</b>                        | <b>90.06</b>      | <b>6,18,73,105</b>                      | <b>90.06</b>      |
|                                     | Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase/decrease(e.g. allotment / transfer / bonus/ sweat equity etc): | Nil                                       |                   |   |                   |

**e. Shareholding of Directors and Key Managerial Personnel:**

| SN                                  | Shareholding of each Directors and each Key Managerial Personnel  | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the Year |                   |
|-------------------------------------|---|---|-------------------|---|-------------------|
|                                     |   | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| <b>At the beginning of the year</b> |   |   |                   |   |                   |
| 1.                                  | Gobinda Chandra Pattanaik, (Managing Director cum CEO)  | 67,60,343                                 | 9.84              | 67,60,343                               | 9.84              |
| 2.                                  | Dibyajyoti Pattanaik, (Director)  | 66,200                                    | 0.10              | 66,200                                  | 0.10              |
|                                     | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.): | Nil                                       | Nil               | Nil                                     | Nil               |
| <b>At the end of the year</b>       |   | <b>68,26,543</b>                          | <b>9.94</b>       | <b>68,26,543</b>                        | <b>9.94</b>       |

**V. INDEBTEDNESS** – Indebtedness of the Company including interest outstanding / accrued but not due for payment. (Rs. In Lakhs)

|  | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
|--|----------------------------------|-----------------|----------|--------------------|
| <b>Indebtedness at the beginning of the financial year</b> | 2,55,322                         | 19,360          | Nil      | <b>2,74,682</b>    |
| <b>Indebtedness at the end of the financial year</b>       | 3,48,945                         | 41,792          | Nil      | <b>3,90,737</b>    |

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-**

**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:** (Rs in Lakhs)

| SI | Particulars of Remuneration   | Name of MD/WTD/ Manager             |                           | Total amt. |
|----|---|-------------------------------------|---------------------------|------------|
|    |   | Gobinda Chandra Pattanaik, MD & CEO | Dibyajyoti Pattanaik, WTD |            |
| 1  | Gross salary  |                                     |                           |            |
|    | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 138                                 | 55                        | 193        |
|    | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961                             | 0                                   | 0                         | 0          |
|    | (c) Profits in lieu of salary u/s 17(3) Income- tax Act, 1961                       | 0                                   | 0                         | 0          |
| 2  | Stock Option  | 0                                   | 0                         | 0          |
| 3  | Sweat Equity  | 0                                   | 0                         | 0          |
| 4  | Commission<br>- as % of profit<br>- others, specify...                              | 0                                   | 0                         | 0          |
| 5  | Others, please specify  | 0                                   | 0                         | 0          |
|    | <b>Total (A)</b>  | <b>138</b>                          | <b>55</b>                 | <b>193</b> |
|    | Ceiling as per the Act  | Nil                                 | Nil                       | Nil        |

**B. Remuneration to other Directors**

(Rs in Lakhs)

| Sl   | Particulars of Remuneration                  | Name of Directors |                    |                    |                  | Total amt.   |
|--|--|-------------------|--------------------|--------------------|------------------|--------------|
|  |  | K. K. Tiwary      | Sean Leslie Nossel | Ashok Ranjan Samal |                  |              |
| 1  | Independent Directors                        |                   |                    |                    |                  |              |
|  | Fee for attending board & committee meetings | 3                 | 3                  | 5                  |                  | 11           |
|  | Commission                                   | -                 | -                  | -                  |                  | -            |
|  | Others, please specify                       | -                 | -                  | -                  |                  | -            |
|  | Total (1)                                    | 3                 | 3                  | 5                  |                  | 11           |
| 2<br>(i)                                   | Other Non-Executive Directors                | S P Singh         | Florian Grohs      | Apurva Kumar       | Christina Juhasz | Total Amount |
|  | Fee for attending board & committee meetings | -                 | -                  | -                  | -                | -            |
|  | Commission                                   | -                 | -                  | -                  | -                | -            |
|  | Others, please specify                       | -                 | -                  | -                  | -                | -            |
| 2<br>(ii)                                  | Other Non-Executive Directors                | Laetitia Counye   | Satish Chhava      | Rishi Dwivedi      | Sarath Naru      | Total Amount |
|  | Fee for attending board & committee meetings | -                 | -                  | -                  | -                | -            |
|  | Commission                                   | -                 | -                  | -                  | -                | -            |
|  | Others, please specify                       | -                 | -                  | -                  | -                | -            |
|  | Total (2)= 2(i) + 2(ii)                      | -                 | -                  | -                  | -                | -            |
| <b>Total (B)=(1+2)</b>                     |  | -                 | -                  | -                  | -                | <b>11</b>    |
| <b>Total Managerial remuneration (A+B)</b> |  |                   |                    |                    |                  | <b>204</b>   |
| <b>Overall Ceiling as per Act</b>          |  |                   |                    |                    |                  |              |

**C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD**

(Rs in Lakhs)

| Sl           | Particulars of Remuneration  | Key Managerial Personnel |                   |           |
|--------------|--|--------------------------|-------------------|-----------|
|              |  | Chief Financial Officer  | Company Secretary | Total     |
| 1            | Gross salary   |                          |                   |           |
|              | (a) Salary as per provisions contained in section 17(1) Income-tax Act, 1961 | 49                       | 15                | 64        |
|              | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961                      | 0                        | 0                 | 0         |
|              | (c) Profits in lieu of salary under section 17(3) Income-tax Act             | 0                        | 0                 | 0         |
| 2            | Stock Option   | 0                        | 0                 | 0         |
| 3            | Sweat Equity   | 0                        | 0                 | 0         |
| 4            | Commission   | 0                        | 0                 | 0         |
|              | - as % of profit   | 0                        | 0                 | 0         |
|              | others, specify...   | 0                        | 0                 | 0         |
| 5            | Others, please specify   | 0                        | 0                 | 0         |
| <b>Total</b> |  | <b>49</b>                | <b>15</b>         | <b>64</b> |

**KEY MANAGERIAL PERSONS OF THE COMPANY:**

|  |                               |
|--|-------------------------------|
| <b>Managing Director &amp; Chief Executive Officer</b> | Mr. Gobinda Chandra Pattanaik |
| <b>Whole Time Director</b>                             | Mr. Dibyajyoti Pattanaik      |
| <b>Chief Financial Officer</b>                         | Mr. Satyajit Das              |
| <b>Company Secretary</b>                               | Mr. Subrata Pradhan           |

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

- A. Company** : No such incident occurred during the period
- B. Directors** : No such incident occurred during the period
- C. Other Officer in default** : No such incident occurred during the period